# The 8<sup>th</sup> Non-LTE Code Comparison Workshop

November 4-8, 2013

Santa Fe, NM

## **Submission of Calculations**

This document is intended to define the particulars of the workshop submissions. In the sections below we will define the case problems, the comparison quantities which we require and the detailed format of the data files that we will be expecting. The problems are completely defined by a specification of the *electron temperature* and *electron density*. The goal is to compare the kinetic codes and therefore the problems are 'zero-dimensional', i.e., there are no consideration of plasma non-uniformity, boundary effects, or heavy-particle interactions.

The webpage of the meeting is at <a href="http://nlte.nist.gov/NLTE8">http://nlte.nist.gov/NLTE8</a>. The submission files are to be uploaded to <a href="ftp.nist.gov">ftp.nist.gov</a>, cd incoming. To reduce the server load and accelerate the upload, it would be most convenient if the contributor(s) created an archive file containing all the individual result files. Submissions employing any modern data compression techniques (e.g., zip/gzip/bzip2/arj/lha/rar) along with the Unix tar archiving utility will be accepted. The submission files should have the name of the codes and the contributor as the parts of the file names (e.g., nomad\_ralchenko\_case1.tgz). Also, please inform Yuri Ralchenko (email: yuri.ralchenko@nist.gov">yuri.ralchenko@nist.gov</a>) when new files are uploaded.

### **Timeline:**

- 1. October 15 submission deadline
- 2. October 25 online database available
- 3. **November 4** workshop opens
- 4. **November 8** workshop adjourns

#### I. STATEMENT OF CASES

We have selected a number of atoms to consider, and for each atom we are requesting results on a grid of electron temperatures and electron densities. In the following, temperatures are given in eV, particle densities in cm<sup>-3</sup>, time in seconds.

The following problems have been established for the cases:

Element	Case ID	Number of cases	Parameter	Grid		
<u>Neon</u>	Ne	24	T <sub>e</sub>	5, 40, 80		
			N <sub>e</sub>		$10^{18}, 10^{19}$	
			$\mathbf{T}_{\mathrm{rad}}$		103	
			Dilution factor	0, 0.017		
			Length (cm)		0, 1	
			Transmission and emission spectra	Range (Å)	Resolution (Å)	# of points
				10-14	$\Delta\lambda = 0.002$	2001
<b>Krypton</b>	Kr	1 or 2		Density, tem	perature, size to be	e determined
			Spectra	Range (eV)	Resolution (eV)	# of points
			spectrum1	1200-3000	$\Delta E = 0.5$	3601
			spectrum2	3000-16000	$\Delta E = 2$	6501
Tungsten	W	4	T <sub>e</sub>	12	00, 1800, 2200, 24	100
			N <sub>e</sub>	10 <sup>14</sup>		
			Spectra	Range (Å)	Resolution (Å)	# of points
				40-70	Δλ=0.01	3001
	· 				· 	

The grid of plasma temperatures and densities is given in Table I. If your calculation requires an ion temperature, then you should assume it is identical to the electron temperature.

Each calculation will be referenced by a case name, which is to be given in the submission data file (as described further below). The case name is constructed by appending a suffix to the Case\_ID shown in the preceding table. The suffix consists of one or more digits. For most of the cases, the first digit corresponds to the electron temperature, and second to the electron density. Correspondingly, the W case with  $T_e = 1800 \text{ eV}$  and  $N_e = 10^{14} \text{ cm}^{-3}$  will be referred to as **W21** since 1800 eV is the second value in the list of  $T_e$  and  $10^{14} \text{ cm}^{-3}$  is the first (and only) density. The neon cases will have a third digit indicating the dilution factor and a fourth digit for plasma

size, e.g., **Ne2112** corresponds to 40 eV,  $10^{18} \text{ cm}^{-3}$ , no external radiation field (dilution factor = 0), and 1-cm size. The krypton cases will be identified by one digit only, that is, **Kr1** or **Kr2**.

The quantities to be computed for each case are described below. Most of the cases will also require *calculation of emission (and transmission for Ne) spectra*.

## Please note that the energy unit of erg is replaced by Joule everywhere.

The submissions file should be named as **<case>**.**<contributor\_name>**.**<code\_name>**, so that Dr. A. Einstein's calculations with his code GToE for one of the tungsten cases would be in the file w31.einstein.gtoe (case insensitive).

#### II. JUSTIFICATION OF CASES AND DETAILS

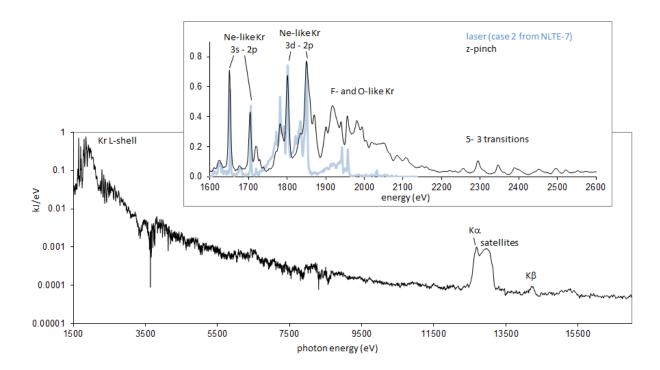
#### 1. **Ne**

The Ne case is designed to model a plasma that is photoionized by a powerful source of photons (e.g., Z at Sandia). The external Planckian radiation field has temperature of 103 eV and its energy density is reduced by the dilution factor. We request both transmission and emission spectra for these cases. For self-consistent population calculations, please use 1 cm as both the typical plasma length relevant for photopumping and as the transmission length.

#### 2. **Kr**

An absolutely calibrated (+/- 20%) time-integrated emission spectrum taken from a Kr gas-puff Z-pinch on Sandia's Z machine is provided as a text file and illustrated in the figure below. The L-shell emission from the Z-pinch (see inset) suggests higher temperatures than the  $T_e \sim 600~eV$  diagnosed from the time-integrated spectrum produced by a laser plasma that was provided for fitting in the previous workshop. And, whereas the L-shell Kr emission was supplemented in the last workshop by time-gated XUV spectra (which were not generally well fit by emission the XUV emission from a plasma at the conditions indicated by the L-shell x-rays), the Z-pinch spectrum includes time-integrated high-energy emission with inner-shell  $K\alpha$ ,  $K\beta$ , and satellite features (which may not be well fit by the high-energy emission from a plasma at the conditions indicated by the L-shell x-rays).

<sup>1</sup> Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.



For this case, we are requesting best-fit calculations of emission over the entire spectral range. Because the Z-pinch plasma has known strong radial temperature gradients, submissions can be separated into the contributions from up to two regions, labeled "Kr1" and "Kr2," with Kr1 being a hot central core with radius  $r_1$ , and Kr2 (optional) being a cooler surrounding annulus with inner radius  $r_1$  and outer radius  $r_2$ . For comparisons with the experimental data during and after the workshop, the spectra from the two regions will be added together. For each region, modelers are asked to provide the outer radius, electron temperature, electron density, and [optionally] a hot electron fraction. If hot electrons are included, please use a 100 keV Maxwellian for their distribution and specify their number density in either cm<sup>-3</sup> or as a fraction of the bulk electron density. Opacity and fluorescence effects within and/or between regions may be included at the modeler's discretion. Instrumental broadening with resolution  $E/\Delta E \sim 1000$  may also be included. There is some noise in the spectrum between 3 and 10 keV that may be ignored.

To help constrain the analysis, we offer a summary of the plasma evolution and conditions here. The initial gas puff consisted of two concentric annular shells with 1.0 mg/cm total mass and a maximum outer radius of 4 cm. These shells are ionized and pushed inward by the j x B force created by the Z machine's ~100-ns risetime, ~20 MA current pulse. At stagnation, spatially-resolved emission data suggest that a hot core of radius  $r_1 \sim 0.5$  mm is surrounded by a cooler annulus with radius  $r_2 \sim 2$  mm and that both regions emit over ~25 mm along the axial dimension with moderate axial uniformity. The absolute units of the given spectra come from integrating the emission of the entire plasma structure over a duration of about 15 ns. A few additional notes are in order, gleaned from past analyses of Z-pinch emission: 1) while the total linear mass of the stagnating plasma should not be much greater than 1 mg/cm, it may be substantially smaller. Typical "participating mass fractions" run from 20-60%. 2) The bulk motion of the imploding plasma leads to "effective" ion temperatures with both thermal and bulk-velocity components

that can be significantly higher than electron temperatures, with  $T_{ion}^{eff}$  reaching 10-100 keV. This ion motion broadens the emission lines, substantially reducing opacity and self-photopumping effects.

## 3. **W**

This is continuation of the effort started at NLTE-5 with calculation of ionization balance and radiative power losses for W in magnetic fusion plasmas (see AIP Conference Proceedings 1161, p. 242 [2009]). Here the temperature range covers very low values, down to 1200 eV. These temperatures are more frequently met in plasma experiments but atomic models for lower-charge ions with an open N shell become notoriously difficult to handle.

#### III. SUBMISSION FILE DESCRIPTION

We are asking for a fairly large amount of information. To simplify the specification for the contributors we have adopted a keyword approach within the submissions file. In this approach, all quantities are space delimited. In Section V, we give a schematic of the file format. For clarity we will use the courier font to indicate the keywords (the actual submissions should be unformatted plain ASCII text). The user-supplied data that are problem-dependent are indicated by a bold-face parameter name in brackets (e.g., <pop\_frac>). We anticipate that not all information will be provided by every user. However, since the information is space delimited, and not fixed in a particular column, then some value must be given for each field. The best default value is to put a zero. The longer records, such as the ion and elev lines, may continue over several lines at the contributor's discretion. Do not break a line in the middle of a keyword or a number. Blank lines may be used anywhere within the file to make the text more readable. While some of the names we use suggest integer quantities, please use decimal values if appropriate to your calculation. For floating point numbers, an e11.4 format is generally adequate although for level energies a high accuracy may be necessary. The exact definitions of the quantities requested, including units, are given in Section V.

The submissions file is structured in 5 sections. These sections are identified by keywords. In some cases, an integer follows the section keyword to indicate the number of records which follow for that section. Some codes will not be able to provide information for every section. Thus, an entire section may be omitted. If all information is provided, then there will be a certain amount of redundancy. This redundancy is intentional and has at least two uses. First, it can be used to detect errors in the file formatting. Second, it is often possible to compute overall quantities more accurately internal to the kinetics code than by post-processing the results.

The *initial section* provides general problem identification information. This section begins with the keyword data.

The *second section* gives overall quantities describing the plasma population and energy distribution. This section is signaled by the keyword summary\_quantities. Note there are no spaces in the keywords.

The *third section* gives information by ionization stage. This section is signaled by the keyword <code>ion\_stages</code>. Within this section, information for each ionization stage begins with the keyword <code>ion</code>. As mentioned above, multiple lines may be used if desired (we intentionally used multiple lines in the schematic file listing below to improve its readability). Important note: we use <code><Nbound></code>, the number of bound electrons, *not* the ion stage charge, to label the ion stages.

The *fourth section* gives information by energy level (keyword energy\_levels). Since many codes employ some form of continuum lowering and/or moving calculational windows, we require that energy level definitions be provided for every case. The shell occupation numbers (**cock**>, **cock**> etc.) as defined for each elev record will be

used to compare codes for the cross-over from a ladder-like de-excitation regime to one which is in Saha-Boltzmann equilibrium with the continuum.

Finally, the *fifth section* contains calculated spectral characteristics.

A relational database tool will be used to manage the data during the course of the workshop.

If necessary, additional clarification regarding the submission format will be provided at the Workshop's web site.

## IV. SUBMISSION FILE FORMAT

The text that follows is a schematic of a submissions file:

```
data
                                           <user comment... >
case
                                           <case_id>
code
                                           <name>
                                           <name> <Znuc>
atom
                                           <CPU> <human>
calctime
summary quantities
plasma
                                           <Te> <Ne>
time
                                           <time>
zbar
                                           <zbar>
m2
                                           <2nd central moment>
m3
                                           <3rd central moment>
eint
                                           <internal_energy>
                                           < dE_{int}/dT_e >
deintdt
                                           <partition_fn>
pfn
nmax eff
                                           <n_value>
                                           <P<sub>bb</sub>> <P<sub>bf</sub>> <P<sub>ff</sub>> <P<sub>total</sub>>
ploss
                                           <count>
ion stages
                                           <Nbound> <pop_frac> <nouter>
ion
                                           <S_{tot}><f_{-}S_{coll}><f_{-}S_{photo}><f_{-}S_{auto}>
                                           <\alpha_{tot}> <\mathbf{f}_{-}\alpha_{coll}><\mathbf{f}_{-}\alpha_{photo}><\mathbf{f}_{-}\alpha_{auto}>
ion
                                           <N<sub>bound</sub>> <pop_frac> <nouter>
                                           <S_{tot}><f_S_{coll}><f_S_{photo}><f_S_{auto}>
                                           <\alpha_{tot}>< f_{\alpha_{coll}}>< f_{\alpha_{photo}}>< f_{\alpha_{auto}}>
energy levels
                                           <N<sub>bound</sub>> <level> <stwt> <energy> <population>
elev
                                           <\!\!\Gamma_{tot}\!\!><\!\!f_{\_}\Gamma_{collbb}\!\!><\!\!f_{\_}\Gamma_{photobb}\!\!><\!\!f_{\_}\Gamma_{collbf}\!\!><\!\!f_{\_}\Gamma_{photobf}\!\!><\!\!f_{\_}\Gamma_{auto}\!\!>
                                           <\!\!\Theta_{tot}\!\!><\!\!f_-\Theta_{collbb}\!\!><\!\!f_-\Theta_{photobb}\!\!><\!\!f_-\Theta_{collbf}\!\!><\!\!f_-\Theta_{photobf}\!\!><\!\!f_-\Theta_{auto}\!\!>
                                           <occK> <occL> <occM> ... <nouter>
elev
                                           <N<sub>bound</sub>> <level> <stwt> <energy> <population>
                                           <\!\!\Gamma_{tot}\!\!><\!\!f_{\_}\Gamma_{collbb}\!\!><\!\!f_{\_}\Gamma_{photobb}\!\!><\!\!f_{\_}\Gamma_{collbf}\!\!><\!\!f_{\_}\Gamma_{photobf}\!\!><\!\!f_{\_}\Gamma_{auto}\!\!>
                                           <\!\!\Theta_{tot}\!\!><\!\!f_-\Theta_{collbb}\!\!><\!\!f_-\Theta_{photobb}\!\!><\!\!f_-\Theta_{collbf}\!\!><\!\!f_-\Theta_{photobf}\!\!><\!\!f_-\Theta_{auto}\!\!>
                                           <occK> <occL> <occM> ... <nouter>
```

The energy levels are to be provided for all steady state cases.

## Spectrum Output

For the cases where we request spectra, the spectral information will be given in this same text file, following the information above. The spectrum will be in the format:

<case></case>		<count<sub>1&gt;</count<sub>		
<ε <sub>bb</sub> 1>		<ε <sub>bf</sub> 1>	$<\epsilon_{\rm ff}1>$	$<\epsilon_{\rm tot}1>$
$<\epsilon_{ m bb}2>$		$<\epsilon_{\mathrm{bf}}2>$	$<\epsilon_{\rm ff}2>$	<ε <sub>tot</sub> 2>
$<\epsilon_{bb}N>$		$<\epsilon_{\rm bf}N>$	$<\epsilon_{\rm ff}N>$	$< \epsilon_{tot} N >$
<case></case>		<count<sub>2&gt;</count<sub>		
$\langle \epsilon_{\rm bb} 1 \rangle$		<ε <sub>bf</sub> 1>	$\langle \epsilon_{\rm ff} 1 \rangle$	$<\epsilon_{\rm tot}1>$
$<\epsilon_{ m bb}2>$		$<\epsilon_{ m bf}2>$	$<\epsilon_{\rm ff}2>$	$<\epsilon_{\rm tot}2>$
$<\epsilon_{bb}$ N $>$		$<\epsilon_{\rm bf}N>$	$<\epsilon_{\rm ff}N>$	$< \epsilon_{tot} N >$
Z1	Z2			
$\langle \epsilon_{bb} 1 \ Z1 \rangle$	<ε <sub>bb</sub> 1 Z2>			
	$<\epsilon_{bb}1>$ $<\epsilon_{bb}2>$ $<\epsilon_{bb}N>$ $<\epsilon_{ase}>$ $<\epsilon_{bb}1>$ $<\epsilon_{bb}2>$ $<\epsilon_{bb}N>$	$\begin{array}{l} <\!\!\epsilon_{bb}1\!\!> \\ <\!\!\epsilon_{bb}2\!\!> \\ <\!\!\epsilon_{bb}N\!\!> \\ <\!\!\epsilon_{ase}\!\!> \\ <\!\!\epsilon_{bb}1\!\!> \\ <\!\!\epsilon_{bb}2\!\!> \\ <\!\!\epsilon_{bb}N\!\!> \\ &\!$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

where wavelengths (energies) are in Å (eV), and spectra  $\epsilon$  for bound-bound (bb), bound-free (bf), and free-free (ff) transitions are explained later.

## V. DEFINITIONS OF REQUESTED QUANTITIES

Before proceeding to a detailed description of the requested quantities, we would like to comment on the ion density. In absence of heavy-particle interactions, the influence of ion density would mostly be exposed through the ionization potential lowering. To provide a description of  $N_i$ , for all cases the electron and ion densities are to be related via the plasma neutrality condition, i.e.,  $N_i = N_e/Z$ .

In section 1, the identification section, the following quantities are requested:

data	Calculation identifier and user comment line. Comment should
	be limited to this one line only and should include the
	contributor's name, institution, the version of the code, and the
	date at which calculation was run. This can be invaluable in
	maintaining order in large number of submissions.

All calculations will have a case identification of the form Ar12 or the like (see Section I). These identifiers are assigned in the section below where the specific calculations are called out.

An identifier for each contributor's code which may be chosen by the contributors. For convenience in post-processing and tabulation the names should not be excessively long. The names will be used in all tables and graphs of comparisons, and must be the same from case to case.

Identifies the atom under study. The field **<name>** is a convenience for the contributor. In many cases, calculations are driven by atomic data found in a file. The file **<name>** may be used to specify that name. The field **<Znuc>** is the nuclear charge of the atom.

calctime Provides information on the CPU time (computer) and total time (human) spent on calculation of this particular case.

In section 2, the summary quantities section, the following items are requested:

This record specifies the plasma conditions used in this calculation. The electron temperature is in units of eV. The electron density is in units of cm-3.

time A value (e.g., zero) for steady-state cases.

zbar Average charge of the plasma.

m2 Second central moment of the charge state distribution.
 m3 Third central moment of the charge state distribution.

eint Specific internal energy of the atom.

pfn Partition function of the atom.

nmax eff For this calculation, the principal quantum number of the

outermost electron in any bound state. We will be interested in sensitivity of comparison quantities to the highest bound states accounted for by the model. This quantity will also be used as a

measure of continuum lowering.

ploss The radiative power losses: bound-bound, bound-free, free-free,

and total. Units: J/s/cm<sup>3</sup>.

The **central moments** are defined as:

$$m_N = \sum_j y_j (q_j - \overline{Z})^N,$$

where  $y_j$  is the fractional population of ion stage j,  $q_j$  is the ion charge, and  $\overline{Z}$  is the average charge.

The **specific internal energy** is the sum of level populations,  $n_j$ , multiplied by their energy value,  $E_i$ , divided by the total ion density  $N_i$ :

$$E_{\rm int} = \sum_{i} \frac{E_{j} n_{j}}{N_{i}}.$$

The energy reference is the ground state of the neutral atom. We recognize that a kinetics model may not include all ionization stages of the atom – the ground state of the most neutral ion is the most reasonable substitute. For intercomparisons, this quantity will likely need zero point shifts. Units are eV/atom.

The **specific heat** is the derivative with respect to electron temperature of the specific internal energy of the atom. Units are eV/atom/eV. If computed by finite difference, the step size is to be chosen by the contributor.

The **partition function** is defined as the classical partition function:

$$Q = \sum_{j} g_{j} \exp(-E_{j}/T_{e}),$$

where  $g_j$  is the statistical weight of level j and  $E_j$  is the energy of the level, with respect to the ground state of the most neutral ion.

The total **power loss** is the most important quantity, so that if one has difficulties separating different contributions, then it would suffice to have zeros in fields other than  $<\mathbf{P_{total}}>$ .

Note that many of the "thermodynamic" quantities are intentionally sensitive to continuum lowering models. Quantities possibly affected are **<eint>** and **<pfn>**. If your continuum lowering model alters the energy levels or statistical weights, please include these effects in the appropriate "thermodynamic" quantities.

High-lying bound states can be included in the population kinetics in a variety of ways. The field  $nmax\_eff$  is intended to give information on the highest-lying bound state, which is affecting the calculation of the populations. It is thus an "effective" principal quantum number. If a code includes a level, which accounts for more than one n value, then for this field we recommend giving the *largest* value that is being modeled.

In section 3, the ion stages section, the following quantities are requested:

<nbound> <pop_frac></pop_frac></nbound>	The number of bound electrons in this ionization stage.  The fraction of atoms in this ionization stage. Sum over all ions should be
1 1-	normalized to unity.
<nouter></nouter>	The principal quantum number of the outermost electron for any state in this ion
	stage.
<s<sub>tot&gt;</s<sub>	The total (effective) ionization rate out of this ion stage, averaged over all initial states in this ion stage (weighted by the fractional populations of the initial states), and summed over all final states. This quantity is further summed over all ionization processes.
$< f_S_{coll} >$	The fractional contribution of electron collisional ionization processes to $<$ S <sub>tot</sub> >.
$< f_S_{photo} >$	The fractional contribution of photo-ionization processes to $S_{tot}$ .
$\langle f_S_{auto} \rangle$	The fractional contribution of auto-ionization processes to $<$ S <sub>tot</sub> $>$ .
< <b>α</b> <sub>tot</sub> >	The total (effective) recombination rate out of this ion stage, averaged over all initial states in this ion stage (weighted by the fractional populations of the initial states), and summed over all final states. This quantity is further summed over all recombination processes.
$< f_{\alpha_{coll}} >$	The fractional contribution of three-body recombination to the total $<\alpha_{tot}>$ .
$< f_{\alpha_{photo}} >$	The fractional contribution of radiative-recombination to the total $<\alpha_{tot}>$ .
$< f_{auto} >$	The fractional contribution of dielectronic capture processes to the total $<\alpha_{tot}>$ .

We note that the total effective ionization and recombination rates are rates, and not rate coefficients. It is also important to be precise about the direction of these total rates.  $<\mathbf{S}_{tot}>$  is the total effective rate out of the indicated ion into the more ionized ion. Similarly,  $<\mathbf{\alpha}_{tot}>$  is the total effective rate out of the indicated ion into the less ionized ion.

The definitions of  $S_{tot}$  and  $\alpha_{tot}$  are best clarified through an example. Consider a three-ion stage problem consisting of levels in Li-like, He-like, and H-like ions. For the He-like ion,  $S_{tot}$  is the sum of all ionization rates *out* of He-like, weighted by the appropriate He-like initial state populations, and summed over all final states in the H-like ion. The averaging over initial states is completed by dividing the above sum by the total population of the He-like ion.  $\alpha_{tot}$  for the He-like ion is the sum of all recombination rates out of He-like, weighted by the appropriate He-like initial state populations, and summed over all final states in the Li-like ion. The averaging over initial states is completed by dividing the above sum by the total population of the He-like ion. With these definitions, we can define a set of ionization rate equations. In the case of the He-like ion, we write:

$$\frac{dn(He)}{dt} = \alpha_{tot}(H)n(H) - [\alpha_{tot}(He) + S_{tot}(He)]n(He) + S_{tot}(Li)n(Li).$$

Units of  $\langle S_{tot} \rangle$  and  $\langle \alpha_{tot} \rangle$  are s<sup>-1</sup>.

In section 4, the energy\_levels section, the following quantities are requested:

<**Nbound>** Identifies the ionization stage to which this energy level belongs. As always, this quantity is the number of bound electrons in the level.

**A** sequential level number within this ionization stage. This index begins at 1 within each ionization stage for use as a label in model comparisons. The ground state of each ion stage will be identified by locating the state of lowest energy within the ion stage.

**<stwt>** The statistical weight of this energy level.

**<energy>** The energy of the level relative to the overall model. Ionization potentials will be obtained by subtraction of successive ground state energies. Units are in eV. The overall energy reference is the ground state of the most neutral ion in the problem.

**<population>** The **normalized** ion density of this level. Sum of all level populations over all ions is unity.

 $<\!\!\Gamma_{tot}\!\!>$  The **total population flux out** of this level. This definition is different from the previous workshops. Units are 1/s.

<**f**\_**r**<sub>collBB</sub>> The *fractional* contribution of electron collision excitation/de-excitation processes to <**r**<sub>tot</sub>>.

<**f**\_ $\Gamma_{photoBB}>$  The *fractional* contribution of bound-bound radiation processes to < $\Gamma_{tot}>$ .

<**f**\_**r**<sub>collBF</sub>> The *fractional* contribution of electron collision ionization-recombination processes to <**r**<sub>tot</sub>>.

<**f**\_ $\Gamma_{photoBF}>$  The *fractional* contribution of photo-ionization-recombination to < $\Gamma_{tot}>$ .

<**f**\_ $\Gamma_{auto}>$  The *fractional* contribution of auto-ionization/dielectronic recombination processes to < $\Gamma_{tot}>$ .

$<\Theta_{\mathrm{tot}}>$	The <b>total population flux into</b> this level. For steady-state condition $\langle \Theta_{tot} \rangle = -\langle \Gamma_{tot} \rangle$ . Units are 1/s.
$< f_{\Theta_{collBB}} >$	The $\mathit{fractional}$ contribution of electron collision excitation/de-excitation processes to $<\Theta_{tot}>$ .
$< f_\Theta_{photoBB} >$	The <i>fractional</i> contribution of bound-bound radiation processes to $<\Theta_{tot}>$ .
$< f_{\Theta_{collBF}} >$	The <i>fractional</i> contribution of electron collision ionization-recombination processes to $<\Theta_{tot}>$ .
$< f_\Theta_{photoBF} >$	The <i>fractional</i> contribution of photo-ionization-recombination to $<\Theta_{tot}>$ .
$<$ f_ $\Theta_{auto}>$	The <i>fractional</i> contribution of auto-ionization/dielectronic recombination processes to $<\Theta_{tot}>$ .
<occk></occk>	Occupation number: for this energy level, the number of electrons in the K shell. Users of configuration interaction codes might wish to use the dominant configuration to assign this value.
<occl></occl>	The number of electrons in the L shell.
<nouter></nouter>	The principal quantum number of the outermost electron in that energy level.

Parameter  $\Gamma$  describes all processes originating from a particular level while  $\Theta$  describes all processes ending on this level. The population flux is defined as a product of the population by the corresponding rate, so that, for instance, the total  $\Theta$  for a level i is:

$$\Theta_i = \sum_j POP_j \times R_{ij} ,$$

where  $POP_j$  is the population of level j and  $R_{ij}$  is the rate of a physical process originating in level j and ending in level i (e.g., probability for a radiative transition from the upper level j into the lower level i). Note that at the previous workshops, we were asking for rates rather than population fluxes.

The shell occupation numbers (**cock**>, **cock**>, **cock**>, etc.) could be variable in number for each code, plasma condition, and energy level. Contributors are not constrained on this point: they may specify as many shells as necessary, and as relevant to their calculational approach. The final entry for this energy level record should be the principal quantum number of the outermost electron in that level. In the case of highly-excited levels, the shell occupation numbers may be simplified by only specifying the core, **Nbound>-1**, electrons. In this case the field **couter>** will be used to set the location of the remaining electron. We will be using the values given in this section to compute some of the quantities given in section 2 for consistency checks.

In section 5, the spectrum section, the data requested are summarized below:

<u>Kr</u>

x-axis: energy (in eV), spectrum units: J/s/cm<sup>3</sup>/eV;

Ne, W

x-axis: wavelength (in Å), spectrum units: J/s/cm³/Å for emission unitless for transmission

The spectrum for the present optically thin cases is requested per unit volume. The required data are bound-bound  $\langle \epsilon_{bb} \rangle$ , free-bound  $\langle \epsilon_{fb} \rangle$ , free-free  $\langle \epsilon_{ff} \rangle$  and total  $\langle \epsilon_{tot} \rangle$  spectra. The field  $\langle count \rangle$  specifies the number of (wavelength, spectra) rows which follow.

For the Ne cases, the emission spectrum section should be followed by a second spectrum section labeled "spectrum2" which contains the transmission spectrum obtained by  $\exp[-\kappa l]$ , with  $\kappa$  the absorption in 1/cm and l the plasma length (1 cm).

## Example of a spectrum file for Ne:

 spectrum1 1.000e1 1.002e1	Ne1111 1.000e-15 2.000e-15	2501 1.000e-16 2.000e-16	2.500e-15 5.000e-15	3.600e-15 7.200e-15
 1.400e1	1.000e-15	1.000e-16	2.500e-15	3.600e-15
spectrum2 1.000e1	Ne1111 1.000e-0	2501 1.000e-0	0.9900e-0	0.9800-0

For the Kr case(s), we are requesting two emission spectra with different ranges and resolutions for each plasma region, which are to be submitted in sections labeled "spectrum1" and "spectrum2."

## Example of a spectrum file for Kr:

Kr1	3601			
1.000e-15	1.000e-16	2.500e-15	3.600e-15	
2.000e-15	2.000e-16	5.000e-15	7.200e-15	
1.0006-13	1.0006-10	2.300e-13	3.000e-13	
Kr1	6501			
		0 E000- 1E	2 (00- 15	
1.000e-15	1.000e-15	2.5900e-15	3.600e-15	
	1.000e-15	1.000e-15	1.000e-15	1.000e-15

For the W cases, a section in the spectrum file that describes total spectra should be followed by a section containing bound-bound spectra for the most populated ion stages (with relative ion populations  $POP(W_Z)/POP(W) \ge 10^{-5}$ ).

Finally, the line broadening should be natural+Doppler except for the optically-thick cases where opacity effects are to be taken into account.

## Example of a spectrum file for W:

spectrum1	W21	2501			
1.000e1	1.000e-15	1.000e-16	2.500e-15	3.600e-15	
1.002e1	2.000e-15	2.000e-16	5.000e-15	7.200e-15	
 6.000e1	 1.000e-15	 1.000e-16	 2.500e-15	 3.600e-15	
spectrumW 1.000e1	45 1.300e-16	46 1.700e-16	47 2.000e-16	48 5.000e-16	49 0.000e-16